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Impact of GeneXpert MTB/RIF® on Patients and Tuberculosis Programs in a Low-Burden Setting: A Hypothetical Trial

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Running Title: Hypothetical Impact of Xpert

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At a Glance Commentary:

Scientific Knowledge on the Subject: Although clinical practice guidelines have recommended routine use of nucleic-acid amplification testing (NAAT) in evaluating patients for tuberculosis (TB) since 1996, clinicians and laboratory personnel have not implemented these recommendations widely. The recent development and U.S. FDA approval of GeneXpert MTB/RIF (Xpert), a next-generation, semi-automated TB NAAT has renewed interest in this technology. Data on the influence and impact of Xpert on clinical and public health decisions and outcomes are needed to inform its uptake.

What This Study Adds to the Field: Among outpatients undergoing evaluation for active pulmonary TB, we found that conventional clinical algorithms used to guide initial management decisions frequently led to unnecessary treatment and housing of patients who did not have TB, as well as unnecessary contact investigation. Replacing these algorithms with Xpert testing could eliminate most unnecessary interventions, benefitting both patients and public health programs.

Key words: tuberculosis; diagnosis; health care quality assurance; operations research; public health

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ABSTRACT

Rationale: Guidelines recommend routine nucleic-acid amplification testing (NAAT) in patients with presumed tuberculosis (TB), but these tests have not been widely adopted. GeneXpert MTB/RIF (Xpert), a novel, semi-automated TB NAAT, has renewed interest in this technology, but data from low-burden countries are limited.

Objective: We sought to estimate Xpert's potential clinical and public health impact on empiric treatment, contact investigation, and housing in patients undergoing TB evaluation.

Methods: We performed a prospective, cross-sectional study with two-month follow-up comparing Xpert with standard strategies for evaluating outpatients for active pulmonary TB at the San Francisco Department of Public Health TB Clinic between May 2010 and June 2011. We calculated the diagnostic accuracy of standard algorithms for initial empiric TB treatment, contact investigation, and housing in reference to three *Mycobacterium tuberculosis* sputum cultures, as compared to that of a single sputum Xpert test. We estimated the incremental diagnostic value of Xpert, and the hypothetical reductions in unnecessary treatment, contact investigation, and housing if Xpert were adopted to guide management decisions.

Measurements & Main Results: 156 patients underwent Xpert testing. Fifty-nine (38%) received empiric TB treatment. Thirteen (8%) had culture-positive TB. Xpert-guided management would have hypothetically decreased over-treatment by 94%, eliminating a median of 44 over-treatment days (interquartile range 43-47) per patient and 2169 total over-treatment days (95%CI 1938-2400) annually, without reducing early detection of TB patients. We projected similar benefits for contact investigation and housing.

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***Conclusions*:** Xpert could greatly reduce the frequency and impact of unnecessary empiric treatment, contact investigation, and housing, providing substantial patient and programmatic benefits if used in management decisions.

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INTRODUCTION

Nucleic-acid amplification tests (NAATs) for tuberculosis (TB) have been commercially available in the United States and Europe for almost two decades (1, 2). During that time, evidence has accumulated showing that NAATs provide excellent diagnostic accuracy (3, 4) and additional value for diagnosing TB over clinical decision-making alone (5, 6). This evidence has led U.S. Centers for Disease Control and Prevention (CDC) (7-9) and the British Thoracic Society (10) to recommend routine use of NAATs to guide initial management of patients with possible TB. Nevertheless, NAATs have not been widely adopted in the United States (11) or the United Kingdom (12). Most public health laboratories do not perform TB NAATs routinely (12, 13), because first-generation commercial assays are labor-intensive and have not proven cost-effective in low-burden countries (14-16). Evidence of clinical impact is mixed, with some studies suggesting that NAATs rarely change management in these settings, especially when NAAT results are negative (17, 18). Newer data, however, suggest that among the subset of individuals selected to undergo NAAT, these assays can influence a variety of management decisions, and be cost-saving in some sub-populations of patients (19).

The GeneXpert MTB/RIF® assay ("Xpert", Cepheid Diagnostics, Sunnyvale, California) is a novel, semi-automated NAAT with similar diagnostic accuracy to first-generation commercial NAATs (20, 21). Many clinical laboratories already use the Xpert platform for other diagnostic applications, and its minimal labor requirements make it simpler, faster, and potentially cheaper than previous NAATs. The European Union and World Health Organization have endorsed Xpert for TB evaluation (22), and on July 25, 2013, U.S. Food and Drug Administration (FDA) authorized its use for TB evaluation in the U.S. (23).

In spite of Xpert's attractive diagnostic and operational characteristics, the poor uptake of first-generation NAATs suggests that data on diagnostic accuracy alone could be insufficient to drive

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adoption and that evidence on clinical and public health decision-making and outcomes may be needed (24-26). Therefore, we designed a prospective observational study to estimate the hypothetical impact of Xpert as a replacement for standard clinical and programmatic criteria used in risk stratification and triage of patents undergoing evaluation for active pulmonary TB, while awaiting results of mycobacterial culture and longitudinal clinical assessment (27).

METHODS

Study design and population

The hypothetical trial comparing the impact of different diagnostic strategies represents a novel study design (27), and may be useful when ethical concerns, regulatory barriers, or sample size limitations make a randomized trial infeasible (28, 29). Hypothetical trials are observational studies which make paired measures of diagnostic accuracy for different evaluation strategies, and then project how the results of novel strategies might hypothetically affect management decisions and patient outcomes relative to the actual decisions and outcomes observed for the control strategy.

In this study, we screened consecutive adults undergoing evaluation for active pulmonary TB at the San Francisco Department of Public Health TB Clinic between May 2010 and June 2011 and asked clinicians to refer individuals in whom they believed a NAAT result could inform clinical or public health decisions, a priority group for testing according to CDC guidelines (9). We suggested two key groups of patients for Xpert testing: those initiating empiric treatment for active TB (*i.e.,* treatment prior to a confirmed mycobacterial culture result); and those coming from congregate settings (*e.g.*, homeless shelters, behavioral treatment programs, dialysis centers), in whom an inability to rapidly assess TB transmission risk often interrupts the patient's residence or care in the congregate environment and prompts orders for housing and contact investigation. We excluded patients with incomplete

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microbiologic or clinical follow-up data, as well as patients reporting TB treatment at the time of Xpert

testing.

Procedures

All patients underwent standard evaluation for TB, including a clinical interview, physical

examination, and frontal chest radiography. Immediately afterwards, evaluating clinicians subjectively

rated the probability of TB as low, moderate, or high. Program guidelines recommend using these

categories to guide initial treatment decisions pending additional test results: patients classified as

moderate or high risk are usually referred for both empiric treatment and immediate contact

investigation, while these interventions are usually withheld in patients classified as low risk (30, 31). All

patients provided three daily expectorated or induced sputum specimens for acid-fast bacilli (AFB)

smear microscopy and culture for *Mycobacterium tuberculosis* complex (for details, see Online

Supplement). The San Francisco Department of Public Health Laboratory performed all microbiologic

testing according to standard protocols (20, 32). Staff set aside 0.5 mL of the remaining sputum pellet

for Xpert testing, which a clinical laboratory scientist (CLS) performed approximately three times weekly

(33). The lab reported results to the TB control program with a disclaimer that the assay was not FDA-

approved as a diagnostic test for TB. Therefore, we were not able to evaluate the effects of the test on

actual management decisions in this study.

Measurements and statistical analysis

We collected clinical and demographic information from the clinic's customized electronic

clinical record. We replaced missing results with the median if less than five values were unavailable,

and used a multivariate normal model if five or more were unavailable. Using culture of three sputum

samples collected within seven days of initial evaluation as a reference standard (with one or more

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positives defining TB and two or more negatives with no positives defining non-TB status), we calculated and compared the sensitivities, specificities, and positive and negative predictive values of Xpert and of key clinical and public health decisions. These included decisions to 1) initiate TB treatment; 2) conduct contact investigation; and 3) provide subsidized housing. For discordant results, we reviewed patient records, and reported final clinical diagnoses in accordance with American Thoracic Society TB diagnostic standards (34). We used McNemar's test for paired proportions to assess the statistical significance of differences in sensitivity and specificity, and the large sample test for unpaired proportions to assess differences in predictive values.

Next, for the period prior to the availability of final culture results only, we measured the consequences of Xpert-guided and standard decisions on treatment, contact investigation, and subsidized housing for individuals and for the program in aggregate over the approximately one-year period of the study. Using measures of the time to report results for all diagnostic assays, we calculated differences between standard and Xpert strategies for the following outcomes among those with and without culture-confirmed TB: days of treatment; numbers of close contacts undergoing TB contact investigation; and days of subsidized housing (Online Supplement). We compared differences in medians using Wilcoxon's signed-rank test and differences in proportions using the chi-squared test.

For all analyses, we defined significance in reference to the probability of a two-tailed, type I error (p-value) less than 0.05. Because the sample size arose from convenience, we estimated the precision of outcomes using 95% confidence intervals (95%CI) (35). We performed all statistical analyses using STATA version 11.0 (Stata Corporation, College Station, Texas).

Ethics approval

The University of California San Francisco Committee on Human Research approved the study protocol, and waived the requirement for informed consent on grounds of minimal risk. The CDC author provided technical support only. This role did not constitute engagement in human subjects research, therefore CDC IRB review and approval was not required. Some of these results have been previously reported in the form of an abstract (36).

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RESULTS

Study enrollment

Of 538 consecutive patients undergoing evaluation for possible pulmonary TB during the 13-month study, 227 met eligibility criteria, including 132 patients coming from congregate settings and 95 patients receiving empiric treatment (Figure 1). Of these 227 patients, clinicians ordered Xpert in 156 (69%), including 97 coming from congregate settings but not receiving empiric treatment, and 59 receiving empiric treatment. Nine (15%) of these 59 also came from congregate settings but we analyzed them in the empiric treatment group. Patients in whom clinicians ordered Xpert were similar to those in whom they did not, with a few exceptions. Patients from congregate settings were more likely to undergo Xpert testing if female (Risk Ratio (RR) 2.2, 95%CI 1.08-3.4, p=0.035) or foreign-born (RR 2.41, 95%CI 1.41-3.2, p=0.005) (Online supplement Table S1). Patients receiving empiric treatment were more likely to undergo Xpert if they had abnormal chest radiography (RR 1.43, 95%CI 1.14-1.80, p<0.0001) (Online supplement Table S2).

Patient characteristics

Median age was 52 years (interquartile range (IQR) 39-60), and 54 (35%) were women (Table 1). One-hundred seventeen (75%) were foreign-born, of whom 46 (39%) had immigrated to the U.S. within the previous five years. Twenty (13%) patients were homeless. Thirty-three (21%) reported a history of viral hepatitis, chronic liver disease, or regular ethanol use. Thirteen (8%) were HIV-infected. Although exact medication lists were not available for all patients, 64 (41%) reported taking one or more medications from drug classes commonly associated with TB drug interactions (*i.e.,* antiretroviral therapy, oral contraceptives, immunosuppressive medications, or methadone). Fifteen (10%) were immunosuppressed. Among those tested with Xpert, the clinician-estimated probability of TB was low in 79 (51%) patients, moderate in 44 (28%), and high in 33 (21%). Twenty-two (14%) had positive sputum

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AFB-smear microscopy results, but only eleven (50%) of these had positive results on *M. tuberculosis*

complex cultures. Two patients with negative microscopy results had positive culture results. In total, 13

(8.3%) patients had culture-confirmed TB, including 1/97 (1.0%) from congregate settings, and 12/59

(20%) receiving empiric treatment. The public health laboratory completed Xpert testing in a median of

two days (IQR 1-3), and tested 95% of specimens within five days.

Diagnostic accuracy

Fifty-nine (38%) patients referred for Xpert received empiric TB treatment, including 5/79 (6.3%)

rated as low probability for TB, 23/44 (52%) rated as moderate probability, and 31/33 (94%) rated as

high probability. A decision to treat empirically for TB had high sensitivity (12/13, 92%, 95%CI 64-100)

and high negative-predictive value (NPV, 96/97, 99%, 95%CI 94-100) for culture-positive TB. However,

the specificity of empiric treatment decisions was poor (96/143, 67%, 95%CI 59-75), and only 12 of 59

patients starting empiric treatment actually had TB (positive-predictive value, PPV, 20%, 95%CI 11-33)

(Figure 2).

Xpert had identical sensitivity to clinician-guided treatment decisions (sensitivity difference 0%,

95%CI -29 to +29, p=1.0), detecting all eleven patients with positive AFB-smear microscopy results

(sensitivity 100%, 95%CI 72-100), and one of two patients with negative microscopy results (sensitivity

50%, 95%CI 1-100) (Online Supplement, Figure S1). Xpert also had a high NPV (140/141, 99%, 95%CI 96-

100), which did not vary significantly by smear status, indication for Xpert testing, or level of clinician-

estimated probability of TB (Online supplement, Figures S1-S3).

The specificity of Xpert (140/143, 98%, 95%CI 94-100) was considerably higher than that of

clinician-guided treatment decisions (difference +31%, 95%CI +22 to +39, p<0.0001), and correctly

excluded TB in three AFB smear-positive patients with *Mycobacterium avium* complex (MAC) infection.

Twelve of 15 patients with positive Xpert results also had positive cultures (PPV 80%, 95%CI 52-96).

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Among 15 patients testing Xpert-positive, three had positive tests for rifampin resistance; all were confirmed by phenotypic drug-susceptibility testing.

Discordant Xpert and culture results

One patient had a negative Xpert result but a positive sputum culture: an HIV-infected patient with negative AFB-smear microscopy results, low CD4 count, and minimally abnormal chest radiography, in whom the managing clinician estimated a high clinical probability of active TB and initiated empiric treatment. Culture confirmed the TB diagnosis 14 days later. Three patients had positive Xpert results but negative sputum mycobacterial culture results: all three had positive microscopy results. Of these three, one received initial empiric treatment with clinical and radiographic improvement consistent with culture-negative TB. Another received initial empiric treatment, but failed to improve clinically or radiographically. Given this patient's prior history of TB, the program felt the positive microscopy and Xpert results likely reflected dead bacilli and discontinued treatment. The third was not initially treated, but later acknowledged having taken two months of active TB treatment immediately prior to evaluation. He re-started treatment and received a final diagnosis of culture-negative TB based on clinical and radiographic improvement with therapy.

Clinical and public health impact

Among 13 patients with TB, 12 received empiric TB treatment. Xpert results were discordant with empiric treatment decisions for two patients: one untreated with negative microscopy but positive Xpert results, and one empirically treated with negative microscopy but positive Xpert results. Thus, had Xpert been used to guide initial treatment, there would have been no net change in the number of TB patients who received early treatment (Figure 2), and little change in median and total days of TB treatment prescribed or missed prior to the first positive culture (Table 2).

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Among 143 patients without TB, 47 were treated empirically pending culture results. Xpert results were discordant with the empiric treatment decision for 46 patients, 45 of whom were treated but had negative Xpert results, and one of whom was not treated but had positive results. Of note, 39 (89%) of the 45 empirically treated patients with negative Xpert results received directly-observed therapy. Only eight (18%) of these 45 received final clinical diagnoses of culture-negative TB. Thus, 37 (82%) of the 45 patients correctly re-classified by Xpert were over-treated for active TB. Five (11%) with latent TB infection and 16 (36%) with latent TB infection and abnormal chest radiographs (ATS TB Category 4) received four-drug therapy for active TB when fewer drugs would have been adequate, and 16 (36%) received entirely unnecessary TB treatment (34). Using Xpert to guide initial treatment decisions would have also decreased the median duration of over-treatment from 46 days (IQR 45-49) to 1 day (IQR 1-3), a median difference of 44 days (IQR 43-47). Had Xpert been used to guide treatment decisions in all patients, 44 fewer individuals would have started TB treatment, and the total number of over-treatment days during the 13-month study period would have decreased by 95%, from 2280 (95%CI 2081-2479) to 111 (95%CI 0-256) days, eliminating 2169 days (95%CI 1938-2400) of unnecessary treatment for the TB program.

Contact investigation

The standard indications for contact investigation, having positive AFB smear-microscopy results or chest radiographic findings consistent with active TB and receiving empiric TB therapy, were present in 81/156 (52%) of patients. The sensitivity of these criteria for culture-positive TB was 92% (95%CI 64-100); specificity was 52% (95%CI 43-60) (Figure 2). Although the NPV of standard criteria for contact investigation was high (99%, 95%CI 93-100), only 12/81 (PPV 15%, 95%CI 8-24) meeting these criteria actually had TB. Xpert identified one patient with AFB-smear-negative, culture-positive TB with a normal chest radiograph that programmatic criteria did not select for contact investigation, but missed another

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patient with AFB-smear-negative, culture-positive TB and an abnormal radiograph that programmatic criteria identified, thereby yielding no net change in the number of contact investigations (sensitivity difference 0%, 95%CI -29 to +29, p=1.0). The specificity of Xpert, however, was 46% higher (95%CI 37-55, p<0.0001) than standard criteria for contact investigation. Therefore, Xpert would have hypothetically eliminated 66 unnecessary contact investigations, and reduced the overall number of contacts of non-TB patients screened as case contacts from 99 to 9 (Table 2).

Housing

Among the 20 homeless patients, eleven had indications for subsidized housing, including ten receiving empiric TB treatment for whom housing was part of a broader package of social support, and one individual in whom the clinical probability for TB was moderate and housing was indicated to address infection control concerns. Both programmatic criteria for providing housing and Xpert correctly identified the single homeless patient with culture-positive TB (sensitivity 100%, 95%CI 3-100), and had perfect NPV for excluding TB (100% for programmatic criteria, 95%CI 66-100, and 100% for Xpert, 95%CI 82-100) (Figure 2). However, specificity of the standard algorithm was poor (9/19, 47%, 95%CI 24-71), and only one of eleven patients with a programmatic indication for housing actually had TB (PPV 9%, 95%CI 0-41). The specificity of Xpert (19/19, 100%, 95%CI 82-100) was much higher (specificity difference +53%, 95%CI +25 to +80) and PPV was 100% (95%CI 3-100). Using Xpert instead of standard programmatic criteria would have decreased the median number of nights of unnecessary housing from 47 (IQR 46-49) to 1 (IQR 1-4), and the total number of nights of unnecessary housing from 495 (95%CI 387-603) to 30 (95%CI 6-54) (Table 2).

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DISCUSSION

Recent advances in evidence synthesis for policy-making emphasize the need for data on outcomes important to patients and public health programs (24). In this study, we have addressed this important TB research priority (37) by projecting the impact of a novel, automated TB NAAT on key clinical and programmatic management decisions prior to the availability of final mycobacterial culture results in patients undergoing evaluation for active pulmonary TB. In our TB program clinic in San Francisco, we found that over 80% of the treatment, contact investigation, and housing interventions provided during the initial eight-week evaluation period went to individuals who ultimately proved not to have active pulmonary TB by either the culture reference standard or final clinician determination. Furthermore, we found that replacing standard evaluation algorithms with a single sputum Xpert test could eliminate almost all unnecessary interventions in patients without TB, without adversely impacting the timely and appropriate use of these interventions in patients with TB.

There are several reasons why overuse of empiric treatment and other early TB interventions prior to the availability of mycobacterial culture results is common in San Francisco and in other public health settings (17, 18). First, although standard algorithms for treating, investigating, and housing outpatients with possible TB are inefficient, they are nonetheless highly effective at detecting and excluding culture-positive TB, as shown by their high sensitivity (≥90%) and negative predictive value (≥99%) in this study. Second, while researchers, professional societies, and TB programs have consistently highlighted the public health consequences of missing TB patients in epidemiologic studies and practice guidelines (7-9, 31, 38, 39), few investigators have examined the impact of an incorrect TB diagnosis on patients (5, 30). A recent survey found that only three of 96 published systematic reviews and meta-analyses of TB diagnostic strategies had addressed questions related to clinical or public health impact (40), and similar gaps exist in the primary literature (41). Because of a lack of high-quality

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comparative data on the costs of under-management and over-management of TB, clinicians and public health programs consistently underestimate the adverse consequences of false-positive results – and the resulting unnecessary treatments, contact investigations, and housing orders – thereby obscuring the negative effects that standard strategies have on patients and TB programs (42). As we have shown, incorrect initial management decisions adversely impact a large proportion of individuals being evaluated and have sustained adverse consequences for patients and families, including anxiety, stigma, drug toxicities and interactions, and missed primary diagnoses. In addition, misclassification errors take a heavy toll on public health departments, leading to poor allocation of medications, laboratory tests, and staff time, and undermining patient confidence in the competence of TB programs, in an era when public health funding is increasingly tight (43-45).

We have shown that introducing Xpert could reduce the need to rely on non-specific clinical and programmatic algorithms, and accelerate and improve most initial decisions so that they better serve patients and public health programs. In our study, both Xpert and the standard algorithm correctly excluded culture-positive TB in 99% of individuals, but because of its superior specificity, Xpert would have averted many unnecessary courses of empiric four-drug treatment for active TB, many unnecessary contact investigations, and a few nights of housing. If this strategy were implemented, a few patients with culture-positive or culture-negative TB might be initially missed and have early interventions incorrectly withheld, but such misclassifications also happen with current clinical algorithms. Moreover, we would hypothesize that because Xpert-negative patients have pauci-bacillary disease (46), most are unlikely to experience adverse outcomes or transmit TB in the short two-to-four weeks required for liquid cultures to turn positive (47). For the minority of patients who have features of extra-pulmonary TB or risk factors for rapid disease progression; who are residing or receiving care amidst a vulnerable population; or for whom the quality of sputum is uncertain, clinicians may choose to

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conservatively provide initial empiric treatment and/or housing regardless of the Xpert result, while contact investigation may await the final clinical determination. In addition, for patients who later prove to be sputum culture-negative but for whom concerning clinical features of TB persist, clinicians should consider empiric treatment of presumptive culture-negative TB at that time.

Our findings complement previous studies of the impact of TB NAATs by providing hypothetical patient- and clinic-level outcome data on the new Xpert assay in a highly relevant population. A study from a North Carolina public health program projected that an idealized NAAT with characteristics similar to Xpert could reduce treatment costs by 54%, respiratory isolation costs by 75%, and contact investigation costs by 13% (45). Operational data from Georgia, Hawaii, Maryland, and Massachusetts using the older *Mycobacterium tuberculosis* Direct (MTD, GenProbe, San Diego, CA) NAAT to evaluate patients for TB showed reductions in unnecessary empiric treatment, respiratory isolation, and contact investigation, but greater overall costs (19). Finally, a mathematical model comparing Xpert, MTD, and standard microbiologic testing for patients undergoing TB evaluation in the U.S. found Xpert to be cost-saving relative to the other approaches. Moreover, universal Xpert testing modestly improved quality of life at a modest incremental cost relative to testing only patients with positive sputum smears (47). Future studies should also account for the economic and social costs borne by individuals, in order to better understand the impact of TB diagnostic strategies on patient-important outcomes.

Our study has limitations. First, patients were not selected consecutively but based on the presence of diagnostic uncertainty. Although this kind of sampling may upwardly bias measures of diagnostic accuracy, our pragmatic, "intention-to-test" enrollment strategy is consistent with CDC guidelines to refer only those for whom a result would alter clinical or public health decisions (9, 48). Second, because of the small number of TB cases, our sensitivity measures for Xpert had limited

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precision, although the point estimates were identical to those reported in multiple studies from other low-burden settings (49), and the negative predictive values were high and precise. Third, we used a concentrated pellet rather than a clinical specimen for the Xpert assays, which has been associated with higher sensitivity in some studies and lower sensitivity in others, but to have no effect on specificity (49). Nevertheless, in our low-burden population, the reported sensitivity differences between direct and pelleted specimens would not meaningfully alter Xpert's negative predictive value. Fourth, we did not collect data on the effects of sputum volume, type of collection, or quality on Xpert accuracy. However, limited published data suggest that reductions in sensitivity observed with low-volume or induced sputa have only modest effects on negative predictive value, especially in low-burden settings, and that patients missed by Xpert have paucibacillary disease often detected by sputum culture within only a few weeks (46, 50).

Finally, our estimates of the clinical and public health impact of Xpert are hypothetical. However, a clinical trial comparing clinician- and Xpert-guided decisions in low-burden settings is unlikely to be performed anytime soon, and would arguably be unnecessary and unethical given the overwhelming potential benefit in reducing unnecessary management (51). Instead, implementation studies are needed to inform the safety and acceptability of Xpert-guided management decisions in various low-burden settings, with close monitoring of populations in whom negative predictive value is uncertain and of populations who are at high risk of transmitting TB or of progressing rapidly to more severe disease. We did not directly examine the economic costs of the proposed strategy, although others project substantial savings (45, 52). Future analyses using this detailed data may further define the individual and public health costs and benefits, and inform use of Xpert within new TB evaluation algorithms where resources are constrained.

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In summary, routine use of Xpert could potentially have substantial clinical and public health impact by reducing empiric treatment, contact investigation, and housing for many patients who do not have TB in low-burden settings. Our data provide a strong argument for using Xpert and other similar tests in the majority of presumed pulmonary TB patients in programmatic settings, and for practice-based research using closely monitored and well-controlled research designs to evaluate their safety and benefits in patients with uncertain sputum quality, or with risk factors for rapid progression or transmission to vulnerable populations. Xpert is already revolutionizing TB diagnosis in high-burden countries, and could improve patient well-being and resource allocation in low-burden countries, enabling programs to focus on identifying and treating patients who actually have TB.

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ACKNOWLEDGEMENTS

Figure Legends:

Figure 1. Patient enrollment flow diagram.

Figure 2. Comparison of diagnostic accuracy and impact of clinician- versus Xpert-guided decisions on

(A) empiric treatment, (B) contact investigation, and (C) subsidized housing. TB = tuberculosis; TP = true

positive; FN = false negative; FP = false positive; TN = true negative; PPV = positive-predictive value; NPV

= negative-predictive value.

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Figure 1. Patient enrollment flow diagram.

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Figure 2. Comparison of diagnostic accuracy and impact of clinician-versus Xpert-guided decisions on (A) empiric treatment, (B) contact investigation, and (C) subsidized housing. TB = tuberculosis; TP = true positive; FN = false negative; FP = false positive; TN = true negative; PPV = positive-predictive value; NPV = negative-predictive value.

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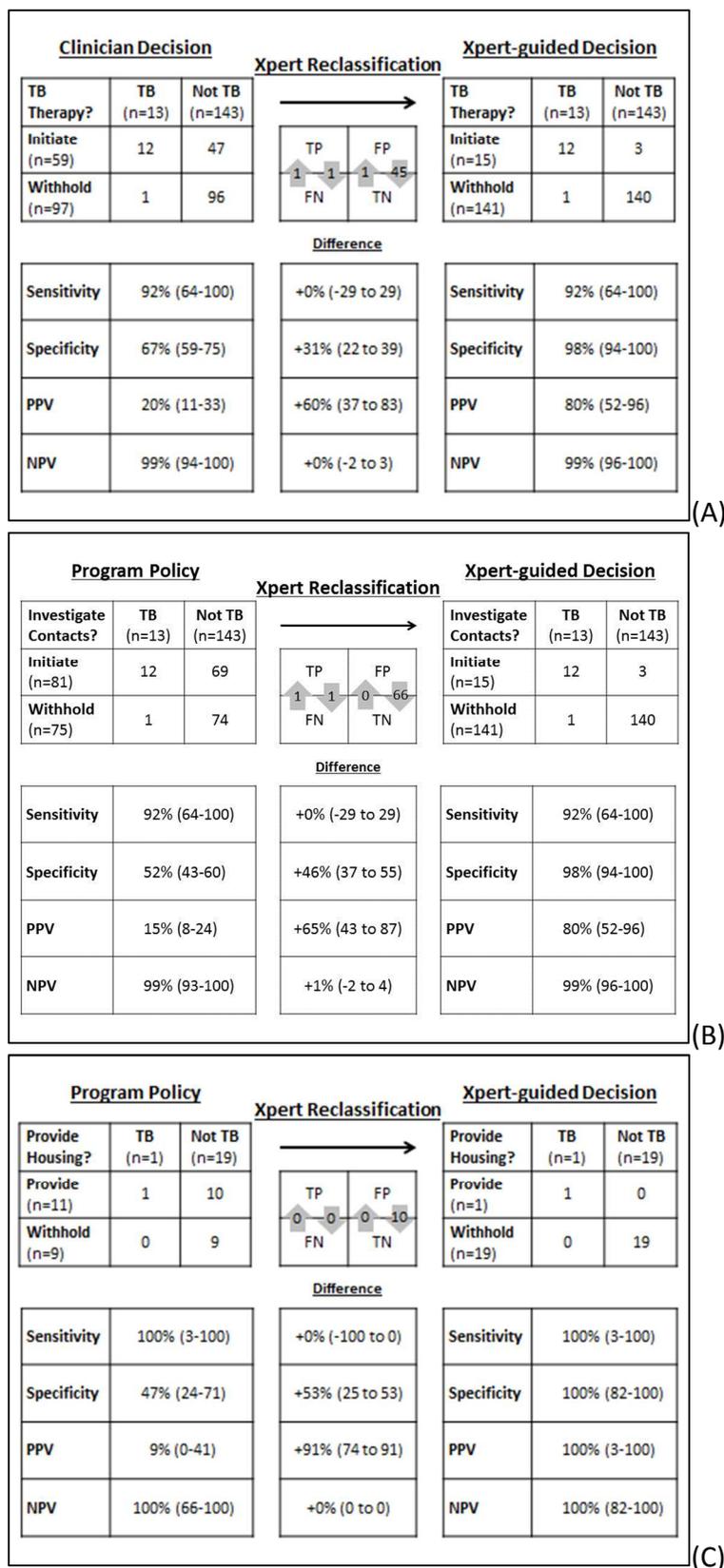
TABLE 1. DEMOGRAPHIC AND CLINICAL CHARACTERISTICS OF PATIENTS BEING EVALUATED FOR PULMONARY TB

Characteristic	From congregate settings	Empirically treated[†]
N (%)*	(n=97)	(n=59)
Median age (IQR)	54 (39-59)	49 (38-63)
Women	36 (37)	18 (31)
Foreign-born	72 (74)	45 (76)
Homeless[‡]	11 (11)	9 (15)
Clinician-estimated probability of TB		
Low	74 (76)	5 (8)
Moderate	21 (22)	23 (39)
High	2 (2)	31 (53)
Taking ≥1 drug with a potential TB-drug interaction[¶]	40 (41)	24 (41)
≥1 risk factor for TB-drug-related hepatotoxicity[§]	17 (18)	16 (27)
Risk factors for rapid TB progression	8 (8)	9 (15)
HIV-seropositive	6 (6)	7 (12)
Immunosuppression, not due to HIV	1 (1)	1 (2)
AFB-smear-positive	6 (6)	16 (27)
Culture-confirmed TB	0 (0)	11 (69)
Culture-confirmed TB	1 (1)	12 (20)
AFB-smear-positive	0 (0)	11 (92)

Definition of abbreviations: AFB = acid-fast bacilli; IQR = interquartile range; TB = tuberculosis.

Legend: *Unless otherwise specified. [†]9/59 (15%) empirically treated patients were homeless but analyzed with the empirically treated group rather than with the congregate settings group. [‡]6 missing observations, 5 from the congregate settings group and one from the empirically treated group. [¶]Including antiretroviral therapy, oral contraceptives, immunosuppressive therapy, and methadone. [§]Including ethanol use, chronic liver disease, and viral hepatitis.

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TABLE 2. IMPACT OF XPERT-GUIDED DECISIONS ON INDIVIDUAL AND TOTAL ANNUAL OUTCOMES

Outcomes	Median Individual Impact			Total Annual Impact		
	Standard Criteria	Xpert	Difference	Standard Criteria	Xpert	Difference
	(IQR)	(IQR)	(IQR)	(95%CI)	(95%CI)	(95%CI)
Treatment						
Mtb culture-positive						
Days of pre-diagnosis treatment	13 (10-15)	12 (9-15)	1 (1-3)	187 (86-288)	174 (68-280)	13 (-16 to 42)
Days of under-treatment	24 (---)	5 (---)	19 (---)	24 (---)	5 (---)	19 (---)
Mtb culture-negative						
Days of over-treatment	46 (45-49)	1 (1-3)	44 (43-47)	2280 (2081-2479)	111 (0-256)	2169 (1938-2400)
Contact Investigation[*]						
Index case *Mtb* culture-positive						
Number of TB contacts investigated	2 (1-4)	1 (1-3)	---	30 (14-46)	23 (11-34)	---
Number of TB contacts not investigated	12 (---)	5 (---)	---	12 (---)	5 (---)	---
Index case *Mtb* culture-negative						
Number of non-TB contacts investigated	1 (1-1)	1 (1-7)	---	99 (79-119)	9 (0–35)	---
Subsidized Housing						
Mtb culture-positive						
Nights of early housing	6 (---)	6 (---)	0 (---)	6 (---)	6 (---)	0 (---)
Nights of housing missed	0	0	0	0	0	0
Mtb culture-negative						
Nights of unnecessary housing	47 (46-49)	1 (1-4)	46 (38-47)	495 (387-603)	30 (6-54)	465 (348-582)

Definition of abbreviations: IQR = inter quartile range; *Mtb* = *Mycobacterium tuberculosis*.

Legend: [*]Differences not calculated because of multiple imputation of missing values.

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SUPPLEMENTARY METHODS

Study setting

The San Francisco Department of Public Health TB Clinic provides TB evaluation and management services to all residents of San Francisco City and County, including approximately 500 patients with presumed active TB and about 100 patients with confirmed active TB annually. Patients undergo a standard evaluation, which includes a clinical history, a physical examination, and a frontal chest radiograph on the day of the initial clinic visit, with results documented on a standardized encounter form and entered into the clinic's customized electronic medical record. Clinicians then assign each patient a probability of active TB (low, moderate, or high) based on the initial evaluation in order to help guide initial treatment and isolation decisions (1). All patients receive daily directly observed therapy (DOT) and additional social support measures, including subsidized housing if homeless. After the initial clinical evaluation, patients provide three, daily expectorated or induced sputum specimens for acid-fast bacilli (AFB) smear microscopy and mycobacterial culture, and undergo tuberculin skin testing and/or interferon-gamma release assay testing (QuantiFERON Gold In-Tube, Cellestis, Valencia, California). For any patient found to have AFB-positive sputum or chest radiographic findings consistent with active pulmonary TB, disease control investigators immediately perform contact investigation, enumerating household members and other close associates of the index patient and screening those associates for active and latent TB infection at home or in the community (2). Eight weeks after the initial clinic evaluation, clinicians review all clinical, microbiologic, and radiographic data, including any evidence of improvement among those receiving treatment, and assign a final diagnosis.

Inclusion and exclusion criteria

We excluded patients from the San Francisco County Jail as a vulnerable population.

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Microbiologic testing

Trained technical staff at the San Francisco Department of Public Health Laboratory performed all microbiologic testing. After sputum processing and concentration using the NALC-NaOH technique (final NaOH concentration 1%) with centrifugation at 3000g, clinical laboratory scientists inoculated liquid (Bactec MGIT 7H9) and solid (Middlebrook 7H11, Middlebrook selective 7H11, and Lowenstein-Jensen) cultures. Liquid cultures were read as negative at 42 days on liquid media and at 56 days on solid media. All positive culture results underwent mycobacterial speciation and drug-susceptibility testing using the agar proportion method. Clinical laboratory scientists performed Xpert testing according to the manufacturer's instructions, incubating the residual 0.5 mL pellet for 15 minutes in a 3:1 volume of the proprietary sample reagent and then placing this into the Xpert cartridge for testing on the Xpert platform.

Statistical analysis

We compared clinical characteristics of those tested and not tested with Xpert within each testing sub-group, using generalized linear models with a log link and robust standard errors.

SUPPLEMENTARY RESULTS

Among the 354 patients with final culture results available whom clinicians chose not to refer for Xpert, only 13 (3.7%) had culture-positive TB. Therefore, although the sensitivity of smear microscopy (6/13, 46%, 95%CI 19-75) was only fair, negative predictive value (340/347, 98%, 95%CI 96-99) was excellent. In addition, the specificity of smear microscopy (340/341, 99.7%, 95%CI 98-100) was high, as was the positive predictive value (6/7, 86%, 95%CI 42-100), albeit within wide confidence intervals.

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ONLINE SUPPLEMENT FIGURES AND TABLES

(A)

(B)

Figure S1. Comparison of diagnostic accuracy and impact of clinician- versus Xpert-guided decisions on empiric treatment among (A) smear-positive (n=22) and (B) smear-negative patients (n=134) undergoing evaluation for active pulmonary TB.

TB = tuberculosis; TP = true positive; FN = false negative; FP = false positive; TN = true negative; PPV = positive-predictive value; NPV = negative-predictive value.

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(A)

Clinician Decision

TB Therapy?	TB (n=2)	Not TB (n=104)
Initiate (n=9)	1	8
Withhold (n=97)	1	96

Xpert Reclassification

	TP	FP
	1 — 0 — 1	8
	FN	TN

Xpert-guided Decision

TB Therapy?	TB (n=2)	Not TB (n=104)
Initiate (n=3)	2	1
Withhold (n=103)	0	103

	Clinician	Difference	Xpert-guided
Sensitivity	50% (1-99)	+50% (-50 to 50)	100% (16-100)
Specificity	92% (85-97)	+7% (0 to 8)	99% (95-100)
PPV	11% (0-48)	56% (-1 to 89)	67% (9-99)
NPV	99% (94-100)	+1% (-1 to 1)	100% (96-100)

(B)

Clinician Decision

TB Therapy?	TB (n=12)	Not TB (n=47)
Initiate (n=59)	12	47
Withhold (n=0)	0	0

Xpert Reclassification

	TP	FP
	0 — 1 — 0	45
	FN	TN

Xpert-guided Decision

TB Therapy?	TB (n=12)	Not TB (n=47)
Initiate (n=13)	11	2
Withhold (n=46)	1	45

	Clinician	Difference	Xpert-guided
Sensitivity	100% (74-100)	-8% (-32 to 0)	92% (62-100)
Specificity	0% (0-8)	+96% (88 to 100)	96% (85-99)
PPV	20% (11-33)	+64% (42 to 80)	85% (55-98)
NPV	---	---	98% (88-100)

Figure S2. Comparison of diagnostic accuracy and impact of clinician- versus Xpert-guided decisions on empiric treatment among patients undergoing evaluation for active pulmonary TB by indication for testing, including (A) being in a congregate setting (n=106) and (B) receiving empiric TB treatment (n=59).

TB = tuberculosis; TP = true positive; FN = false negative; FP = false positive; TN = true negative; PPV = positive-predictive value; NPV = negative-predictive value.

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(A)

Clinician Decision

TB Therapy?	TB (n=1)	Not TB (n=78)
Initiate (n=5)	0	5
Withhold (n=74)	1	73

Xpert Reclassification

TP 1→0 FP 0→4
FN TN

Xpert-guided Decision

TB Therapy?	TB (n=1)	Not TB (n=78)
Initiate (n=2)	1	1
Withhold (n=77)	0	77

	Clinician	Difference	Xpert-guided
Sensitivity	0% (0-98)	+100% (0 to 100)	100% (3-100)
Specificity	94% (86-98)	+5% (-1 to 6)	99% (93-100)
PPV	0% (0-52)	+50% (0 to 100)	50% (1-99)
NPV	99% (93-100)	+1% (-1 to 1)	100% (95-100)

(B)

Clinician Decision

TB Therapy?	TB (n=3)	Not TB (n=41)
Initiate (n=23)	3	20
Withhold (n=21)	0	21

Xpert Reclassification

TP 0→0 FP 0→20
FN TN

Xpert-guided Decision

TB Therapy?	TB (n=3)	Not TB (n=41)
Initiate (n=3)	3	0
Withhold (n=41)	0	41

	Clinician	Difference	Xpert-guided
Sensitivity	100% (29-100)	+0% (-33 to 0)	100% (29-100)
Specificity	51% (35-67)	+49% (31 to 49)	100% (92-100)
PPV	13% (3-34)	+87% (73 to 87)	100% (29-100)
NPV	100% (84-100)	+0% (0 to 0)	100% (92-100)

(C)

Clinician Decision

TB Therapy?	TB (n=9)	Not TB (n=24)
Initiate (n=31)	9	22
Withhold (n=2)	0	2

Xpert Reclassification

TP 1→-1 FP 1→-21
FN TN

Xpert-guided Decision

TB Therapy?	TB (n=2)	Not TB (n=132)
Initiate (n=1)	8	2
Withhold (n=133)	1	22

	Clinician	Difference	Xpert-guided
Sensitivity	100% (66-100)	-11% (-43 to 0)	89% (52-100)
Specificity	8% (1-27)	+83% (60 to 92)	92% (73-100)
PPV	29% (14-48)	+51% (22 to 71)	80% (44-97)
NPV	100% (16-100)	-4% (-13 to 0)	96% (78-100)

Figure S3. Comparison of diagnostic accuracy and impact of clinician- versus Xpert-guided decisions among patients undergoing evaluation for active pulmonary TB by initial clinician-estimated probability of TB: (A) low probability (n=79), (B) low probability (n=44), (C) high probability (n=33).

TB = tuberculosis; TP = true positive; FN = false negative; FP = false positive; TN = true negative; PPV = positive-predictive value; NPV = negative-predictive value.
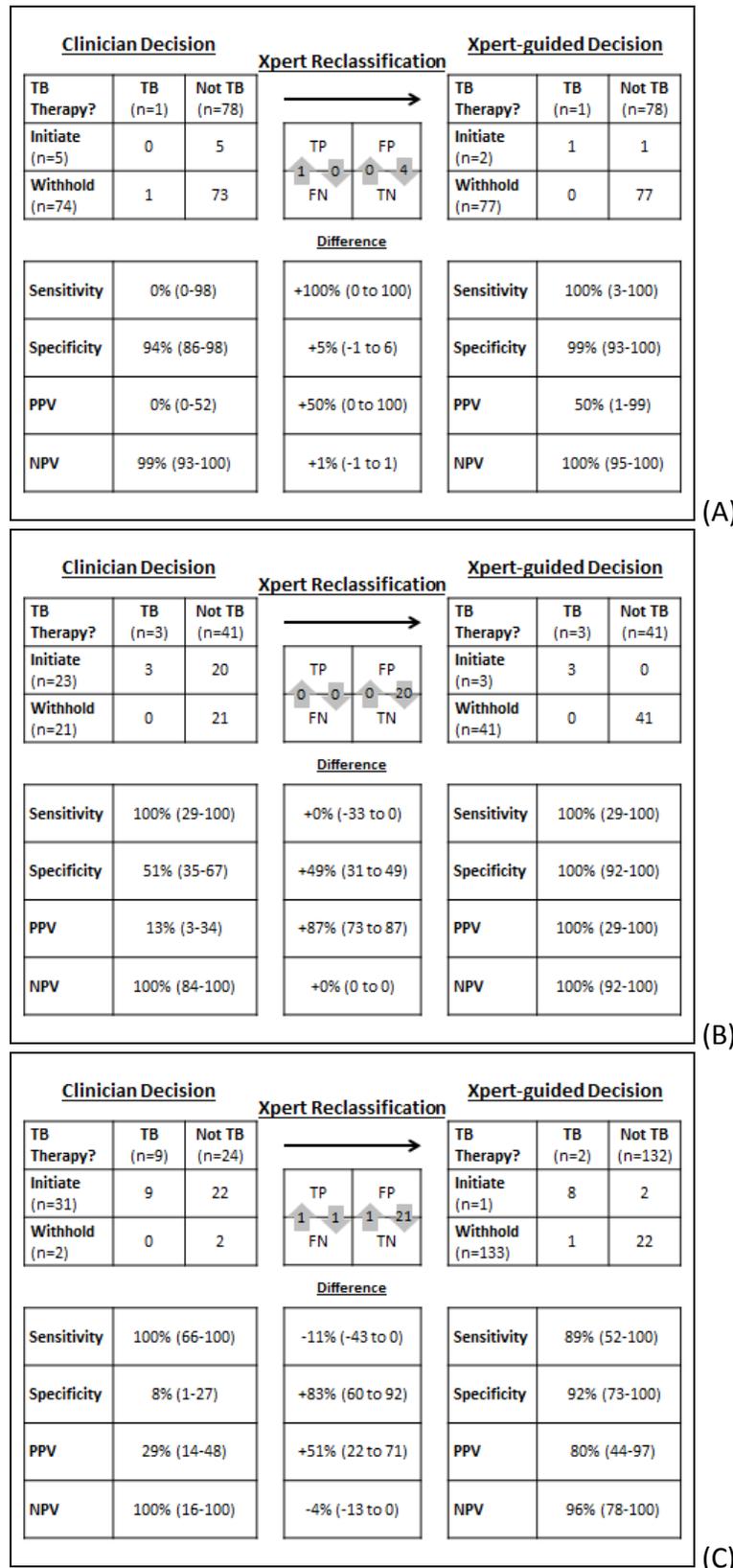
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TABLE S1. CHARACTERISTICS OF PATIENTS FROM CONGREGATE SETTINGS BEING EVALUATED FOR PULMONARY TB, BY XPERT TESTING STATUS

Characteristic	Xpert tested	Not Xpert tested	p-Value
n (%)*	(n=97)	(n=35)	
Median age (IQR)	54 (39-59)	52 (42-57)	0.60
Women	36 (37)	6 (17)	0.035
Foreign-born	72 (74)	34 (47)	0.006
Taking ≥1 drug with a potential TB-drug interaction[¶]	40 (41)	12 (34)	0.55
≥1 risk factor for TB-drug-related hepatotoxicity[§]	17 (18)	12 (34)	0.056
Risk factors for rapid TB progression	8 (8.2)	5 (14)	0.33
AFB-smear-positive	6 (6.1)	0 (0)	0.34
Abnormal chest radiograph[†]	70 (80)	26 (74)	0.63

Definition of abbreviations: AFB = acid-fast bacilli; IQR = interquartile range; TB = tuberculosis.
Legend: [*]Unless otherwise specified. [¶]Including antiretroviral therapy, oral contraceptives, immunosuppressive therapy, and methadone. [§]Including ethanol use, chronic liver disease, and viral hepatitis. [†]Radiograph results unavailable in nine Xpert-tested patients.

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TABLE S2. CHARACTERISTICS OF PATIENTS BEING EVALUATED FOR PULMONARY TB AND
EMPIRICALLY TREATED, BY XPERT TESTING STATUS

Characteristic	Xpert-tested	Not Xpert-tested	p-Value
n (%)*	(n=59)	(n=36)	
Median age (IQR)	49 (38-63)	51 (30-59)	0.98
Women	18 (31)	14 (39)	0.50
Foreign-born	45 (76)	29 (81)	0.80
Taking ≥1 drug with a potential TB-drug interaction[¶]	24 (41)	19 (53)	0.29
≥1 risk factor for TB-drug-related hepatotoxicity[§]	16 (27)	18 (22)	0.64
Risk factors for rapid TB progression	9 (15)	2 (5.6)	0.20
AFB-smear-positive	16 (27)	7 (19)	0.47
Abnormal chest radiograph[†]	58 (98)	24 (69)	0.0001

Definition of abbreviations: AFB = acid-fast bacilli; IQR = interquartile range; TB = tuberculosis.
Legend: [*]Unless otherwise specified. [¶]Including antiretroviral therapy, oral contraceptives, immunosuppressive
therapy, and methadone. [§]Including ethanol use, chronic liver disease, and viral hepatitis. [†]Radiograph results
unavailable in one patient who was not Xpert-tested.

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